

SOME REMARKS ON LIMIT MIXED HODGE STRUCTURE AND SPECTRUM

ALEXANDRU DIMCA AND MORIHIKO SAITO

We give some remarks on limit mixed Hodge structure and spectrum. These are more or less well-known to the specialists, and do not seem to be stated explicitly in the literature. However, they do not seem to be completely trivial to the beginners, and may be worth writing down explicitly.

1. Limit mixed Hodge structure

1.1. In [St1], [StZ], the limit mixed Hodge structures were constructed in the unipotent monodromy case. For the non-unipotent case, we can combine it with [St2] as follows. Here we describe the limit of the mixed Hodge structure on the cohomology with compact supports using the Čech-type construction, since this seems to be the easiest way to explain the relation with the theory of motivic Milnor fibers [DL]. For the usual cohomology (i.e. without compact supports), we can use the commutativity of the dualizing functor \mathbf{D} and the passage to the limit mixed Hodge structure, i.e. $\mathbf{D} \circ \psi_t = \psi_t \circ \mathbf{D}$ (up to a Tate twist), see [StZ], [Sai3]. Of course, we can also use the two weight filtrations on the logarithmic complex associated with the divisor with V -normal crossings [St2] as in [StZ]. It also follows from the theory of mixed Hodge modules [Sai2], [Sai3].

Let $f : X \rightarrow \Delta$ be a projective morphism of complex manifolds where Δ is an open disk. We may assume that f is smooth over Δ^* (shrinking Δ if necessary). Set $Y := f^{-1}(0)$. Let D be a divisor on X which is flat over Δ , i.e. all the irreducible components D_j of D are dominant over Δ . Assume $D \cup Y$ is a divisor with simple normal crossings. Set

$$U := X \setminus D, \quad f' := f|_U : U \rightarrow \Delta, \quad D_J := \bigcap_{j \in J} D_j \quad (\text{where } D_\emptyset = X).$$

Let Y_i be the irreducible components of $Y \subset X$ with m_i the multiplicity of Y along the generic point of Y_i . Set $m = \text{LCM}(m_i)$. Let $\tilde{f} : \tilde{X} \rightarrow \tilde{\Delta}$ be the normalization of the base change of $f : X \rightarrow \Delta$ by the ramified m -fold covering $\pi_\Delta : \tilde{\Delta} \rightarrow \Delta$ which is finite étale over Δ^* , where $\tilde{\Delta}$ is an open disk. Let $\pi : \tilde{X} \rightarrow X$ be the canonical morphism. Set

$$\tilde{U} := \pi^{-1}(U), \quad \tilde{Y} := \pi^{-1}(Y), \quad \tilde{D} := \pi^{-1}(D), \quad \tilde{D}_J := \pi^{-1}(D_J).$$

Then \tilde{X} is a V -manifold, and $\tilde{Y} \cup \tilde{D}$ is a divisor with V -normal crossings on \tilde{X} . Let $\tilde{j} : \tilde{U} \hookrightarrow \tilde{X}$ be the natural inclusion. There is a natural quasi-isomorphism

$$(1.1.1) \quad \tilde{j}_! \mathbf{Q}_{\tilde{U}} \xrightarrow{\sim} \mathcal{K}_{\tilde{X}}^\bullet \quad \text{with} \quad \mathcal{K}_{\tilde{X}}^p := \bigoplus_{|J|=p} \mathbf{Q}_{\tilde{D}_J},$$

where the differential of $\mathcal{K}_{\tilde{X}}^\bullet$ is defined in the same way as a Čech complex as is well known.

Consider the complex

$$\psi_{\tilde{f}} \mathcal{K}_{\tilde{X}}^\bullet.$$

This naturally underlies a cohomological mixed Hodge complex such that its restriction to $\psi_{\tilde{f}} \mathbf{Q}_{\tilde{D}_J}$ coincides with the one defined in [St2] using the complex of logarithmic forms

$\tilde{\Omega}_{\tilde{D}_J}^\bullet(\log(\tilde{Y} \cap \tilde{D}_J))$ together with the Hodge filtration F and the weight filtration W on it. Indeed, we have canonical morphisms for $J \subset J'$

$$\tilde{\Omega}_{\tilde{D}_J}^\bullet(\log(\tilde{Y} \cap \tilde{D}_J))|_{\tilde{D}_{J'}} \rightarrow \tilde{\Omega}_{\tilde{D}_{J'}}^\bullet(\log(\tilde{Y} \cap \tilde{D}_{J'})),$$

and this is a filtered quasi-isomorphism for W (forgetting the filtration F), since $D \cup Y$ is a divisor with normal crossings.

There is a spectral sequence of mixed Hodge structures

$$(1.1.2) \quad {}_\infty E_1^{p,q} = \bigoplus_{|J|=p} H^q(\tilde{D}_{J,\infty}, \mathbf{Q}) \implies H_c^{p+q}(\tilde{U}_\infty, \mathbf{Q}),$$

which is induced by the truncations $\tau_{\geq k}$ on $\mathcal{K}_{\tilde{X}}^\bullet$ for $k \in \mathbf{Z}$, and degenerates at E_2 . (This is the dual of the spectral sequence in [StZ], 5.7.) Indeed, it is the ‘limit’ by $\rightarrow 0$ of the weight spectral sequence

$$E_1^{p,q} = \bigoplus_{|J|=p} H^q(\tilde{D}_J, \mathbf{Q}) \implies H_c^{p+q}(\tilde{U}, \mathbf{Q}),$$

where $\tilde{X} := \tilde{f}^{-1}()$ and $\tilde{D}_J := \tilde{D}_J \cap \tilde{X}$ for $J \in \tilde{\Delta}^*$. These spectral sequences are the dual of the spectral sequences in [StZ] in the unipotent monodromy case.

Note that (1.1.2) is compatible with the actions of the semisimple part T_s and the nilpotent part $N := (2\pi i)^{-1} \log T_u$ of the monodromy T .

1.2. The relation with motivic nearby fibers. With the above notation, let $E = Y \cup D$ with E_i the irreducible components of E . We may assume $E_i = Y_i$ for $i \leq r$ and $E_i = D_{i-r}$ for $i > r$, where r is the number of the irreducible components of Y . For I with $\min(I) \leq r$ (i.e. $E_I \subset Y$), define

$$(1.2.1) \quad E_I = \bigcap_{i \in I} E_i, \quad E_I^\circ = \bigcap_{i \in I} E_i \setminus \bigcap_{i \notin I} E_i, \quad \tilde{E}_I = \pi^{-1}(E_I), \quad \tilde{E}_I^\circ = \pi^{-1}(E_I^\circ).$$

Note that $\tilde{E}_I^\circ \rightarrow E_I^\circ$ is a cyclic étale covering. Set $I' := I \cap [1, r]$. Let \mathbf{L} denote $\mathbf{1}(-1)$ as an Chow motive where $\mathbf{1} = [pt]$, and (-1) is the Tate twist, see e.g. [Mu], [Sch]. By [DL], [Lo] and [MT], [Ra], the motivic nearby fibers for the morphisms $f : X \rightarrow \Delta$ and $f' : U \rightarrow \Delta$ can be given respectively by

$$(1.2.2) \quad \sum_{\min(I) \leq r} [(\tilde{E}_I^\circ, T_s)] (\mathbf{1} - \mathbf{L})^{|I'|-1}, \quad \sum_{\max(I) \leq r} [(\tilde{E}_I^\circ, T_s)] (\mathbf{1} - \mathbf{L})^{|I|-1}.$$

These belong to the Grothendieck group of Chow motives (with \mathbf{Q} -coefficients) endowed with an action of T_s of finite order by using equivariant resolutions of $(\tilde{E}_I, \tilde{E}_I \setminus \tilde{E}_I^\circ)$, see [DL]. Here T_s denotes the semi-simple part of the monodromy, and is given by the automorphism γ of \tilde{X} over X induced by the base change of the automorphism of $\tilde{\Delta}$ defined by $\mapsto \zeta_m$ with $\zeta_m := \exp(2\pi i/m)$. The action of T_s on \mathbf{L} is the identity. We denote the images of the two terms of (1.2.2) in the Grothendieck group of mixed Hodge structures with an action of finite order respectively by

$$(1.2.3) \quad \sum_{\min(I) \leq r} [(H_c^\bullet(\tilde{E}_I^\circ), T_s)] (\mathbf{1} - \mathbf{L})^{|I'|-1}, \quad \sum_{\max(I) \leq r} [(H_c^\bullet(\tilde{E}_I^\circ), T_s)] (\mathbf{1} - \mathbf{L})^{|I|-1},$$

where $H_c^\bullet(\tilde{E}_I^\circ)$ is a complex of mixed Hodge structures with zero differential, \mathbf{L} means here the class of $\mathbf{Q}(-1)$ with trivial action of the monodromy, and T_s is given by $(\gamma^*)^{-1}$, see (2.1.2) below. Then these respectively coincide in the notation of (1.1.1) with

$$(1.2.4) \quad [(H^\bullet(\tilde{X}_\infty), T_s)], \quad [(H_c^\bullet(\tilde{U}_\infty), T_s)].$$

Indeed, this follows from the construction of Steenbrink [St2] together with the long exact sequence of mixed Hodge structures

$$(1.2.5) \quad \rightarrow H_c^j(Z') \rightarrow H_c^j(Z) \rightarrow H_c^j(Z \setminus Z') \rightarrow H_c^{j+1}(Z') \rightarrow,$$

for any open immersions of complex algebraic varieties $Z' \hookrightarrow Z$, which is compatible with the action of automorphisms of varieties. (Here (1.2.5) can be proved by using mixed Hodge modules or the mapping cone construction in [De3] together with the diagram of the octahedral axiom of derived categories.) The dual exact sequence of (1.2.5) for Borel-Moore homology is well known in the theory of cycle maps of higher algebraic cycles.

Note that we get cohomology with compact supports in (1.2.4), and this is quite different from the case of motivic Milnor fibers in [DL].

1.3. Remarks. (i) In case E_I is simply connected, the cyclic étale covering $\tilde{E}_I^\circ \rightarrow E_I^\circ$ can be determined by the multiplicities m_j of Y along the irreducible components Y_j intersecting E_I . For example, assume $\max(I) \leq r$ and

$$(1.3.1) \quad E_I = \bigcap_{i \in I} Y_i = \mathbf{P}^1, \quad E_I^\circ = E_I \setminus (E_{i'} \cup E_{i''}) = \mathbf{C}^*,$$

with $i' \leq r$ (i.e. $E_{i'} = Y_{i'}$). Then the covering degree of $\tilde{E}_I^\circ \rightarrow E_I^\circ$ and the number of connected components of \tilde{E}_I° are given respectively by

$$(1.3.2) \quad \text{GCD}(m_i \mid i \in I), \quad \text{GCD}(m_i \mid j \in I \cup \{i'\}).$$

This may simplify some argument in [MT].

(ii) In [DL], the semisimple part of the monodromy T_s acts as an automorphism of Chow motives. This seems to be useful for the proof of the independence of the motivic Milnor fiber by the resolutions of singularities. For instance, we have $[\mathbf{P}^1] = \mathbf{1} \oplus \mathbf{1}(-1)$ with $\text{End}(\mathbf{1}) = \text{End}(\mathbf{1}(-1)) = \mathbf{Q}$ in the category of Chow motives. This is a special case of the Chow-Künneth decomposition, see e.g. [Mu], [Sch].

2. Spectrum

2.1. Geometric monodromy and local system monodromy. Let $f : X \rightarrow S$ be a continuous map of topological spaces which is locally topologically trivial over S . We assume that the $H_j(X_s)$ and $H^j(X_s)$ with \mathbf{Q} -coefficients are finite dimensional for any j . Let $s \in S$, and $\gamma \in \pi_1(S, s)$. Let $\rho : Y \rightarrow [0, 1]$ be the base change of f by the loop γ . Choosing a trivialization over $[0, 1]$, we get the *geometric monodromy*

$$\gamma_\# : X_s = Y_0 \simeq Y_1 = X_s,$$

where the middle homeomorphism is induced by the trivialization. We have the induced action of the geometric monodromy on homology and cohomology (with \mathbf{Q} -coefficients):

$$\gamma_* \in \text{Aut}(H_j(X_s)), \quad \gamma^* \in \text{Aut}(H^j(X_s)),$$

such that

$$(2.1.1) \quad \gamma^* = {}^t \gamma_*,$$

where t means the transpose.

On the other hand, we have the *local system monodromies*

$$\gamma_h \in \text{Aut}(H_j(X_s)), \quad \gamma_c \in \text{Aut}(H^j(X_s)),$$

which are defined by using the following (trivial) local systems of homology and cohomology groups over $[0, 1]$:

$$\{H_j(Y_u)\}_{u \in [0,1]}, \quad \{H^j(Y_u)\}_{u \in [0,1]}.$$

The latter can be identified with the constant sheaf $R^j \rho_* \mathbf{Q}_Y$, see also [De2], XIV, 1.1.2. Note that γ_c coincides with the monodromy associated to the nearby cycle functor if f is a Milnor fibration.

The relations between the above monodromies are given by

$$(2.1.2) \quad \gamma_* = \gamma_h, \quad \gamma^* = \gamma_c^{-1}.$$

Indeed, the first assertion easily follows from the definition (using simplicial chains for example). We then get the second equality since

$$\gamma^* = {}^t \gamma_* = {}^t \gamma_h = \gamma_c^{-1},$$

where the last equality follows from

$$(2.1.3) \quad \langle \gamma_c u, \gamma_h v \rangle = \langle u, v \rangle \quad \text{for } u \in H^j(X_s), v \in H_j(X_s).$$

Here $\langle u, v \rangle$ denotes the canonical pairing between cohomology and homology, and it can be extended to a canonical pairing between the local systems so that (2.1.3) follows.

It does not seem that (2.1.2) has been clarified explicitly in the literature. In fact, it does not seem to cause big problems at least in the local monodromy case since it is quasi-unipotent (except possibly for the definition of spectrum as in [MT]).

2.2. Example. Let f be a homogeneous polynomial of n variables with degree d , having an isolated singularity at the origin. Set $X = \mathbf{C}^n \setminus f^{-1}(0)$ and $S = \mathbf{C}^*$. Here f also denotes the morphism $X \rightarrow S$ induced by f . Let γ be a generator of $\pi_1(S, s) \simeq \mathbf{Z}$ going around the origin counter-clockwise. Then $\gamma_\#$ is induced by the automorphism

$$(2.2.1) \quad \gamma_\# : (x_1, \dots, x_n) \mapsto (\zeta_d x_1, \dots, \zeta_d x_n),$$

where $\zeta_d := \exp(2\pi i/d)$, and x_1, \dots, x_n are the coordinates of \mathbf{C}^n . The action of $\gamma_\#$ is extended to an automorphism of $\mathbf{C}[x_1, \dots, x_n]$ over \mathbf{C} such that

$$(2.2.2) \quad \gamma_\#^* x_i = \zeta_d x_i.$$

This can be checked for instance by $\gamma_\#^*(x_i - \zeta_d c_i) = \zeta_d(x_i - c_i)$.

Set $\omega = dx_1 \wedge \dots \wedge dx_n$, and

$$(2.2.3) \quad H_f'' := \Omega_{X,0}^n / df \wedge d\Omega_{X,0}^{n-2}.$$

This is called the Brieskorn lattice. Let $g \in \mathbf{C}[x_1, \dots, x_n]$ be a monomial of degree k . After Brieskorn, it is well known (and easy to show) that

$$(2.2.4) \quad \partial_t t(g\omega) = \frac{k+n}{d} g\omega \quad \text{in } H_f'',$$

see e.g. the proof of Prop. 3.3 in [Sai1] for an argument in a slightly more general case.

In the homogeneous polynomial case, we have moreover the well-known relation

$$(2.2.5) \quad \gamma_c = \exp(-2\pi i(\text{Res } t\partial_t)),$$

under the canonical isomorphism

$$(2.2.6) \quad H^{n-1}(X_1, \mathbf{C}) = H_f'' / tH_f'',$$

where $s = 1$. The isomorphism can be defined by using a basis $(\omega_1, \dots, \omega_\mu)$ of H_f'' such that $\partial_t t\omega_i = \alpha_i \omega_i$, and taking the restriction to X_1 after dividing the ω_i by df . Indeed, the

assertion is well known if the Brieskorn lattice is replaced by the Deligne extension [De1]. In this case, the inverse isomorphism is given by

$$u \mapsto \exp\left(-\frac{\log t}{2\pi i} \log \gamma_c\right)u,$$

for $u \in H^{n-1}(X_1)$ which is identified with a multivalued section, and we have

$$t\partial_t \exp\left(-\frac{\log t}{2\pi i} \log \gamma_c\right)u = -\frac{\log \gamma_c}{2\pi i} \exp\left(-\frac{\log t}{2\pi i} \log \gamma_c\right)u,$$

where the eigenvalues of $-\frac{1}{2\pi i} \log \gamma_c$ are chosen corresponding to the Deligne extension. This can be extended to the Brieskorn lattice case easily in the homogeneous polynomial case. So (2.2.5) follows.

By (2.2.4) and (2.2.5), the action of γ_c on $(g/df)|_{X_1} \in H^{n-1}(X_1, \mathbf{C})$ is given by the multiplication by

$$(2.2.7) \quad \exp(-2\pi i(k+n)/d).$$

On the other hand, (2.2.2) implies that the action of the geometric monodromy (2.2.1) on $(g/df)|_{X_1}$ is given by the multiplication by

$$(2.2.8) \quad \exp(2\pi i(k+n)/d).$$

This is the inverse of (2.2.7).

2.3. Brieskorn lattices and mixed Hodge structures. The Brieskorn lattice H_f'' in (2.2.3) is defined for any holomorphic function on a complex manifold X having an isolated singularity at $0 \in f^{-1}(0)$. It is a free $\mathbf{C}\{\{\partial_t^{-1}\}\}$ -module of rank μ , and is contained in the Gauss-Manin system \mathcal{G}_f which is the localization of H_f'' by ∂_t^{-1} , i.e. $\mathcal{G}_f = H_f''[\partial_t]$. The latter has the Hodge filtration defined by $F^p \mathcal{G}_f := \partial_t^{n-1-p} H_f''$ for $p \in \mathbf{Z}$, and also the filtration V of Kashiwara and Malgrange such that $\partial_t t - \alpha$ is nilpotent on $\mathrm{Gr}_V^\alpha \mathcal{G}_f$. By an argument similar to the proof of (2.2.6), there are isomorphisms

$$(2.3.1) \quad H^{n-1}(X_{f,0}, \mathbf{C})_\lambda = \mathrm{Gr}_V^\alpha \mathcal{G}_f \quad \text{for } \lambda = \exp(-2\pi i\alpha),$$

where $X_{f,0}$ is the Milnor fiber, and V_λ denotes the λ -eigenspace for any vector space V with the action of the local system monodromy T . We have moreover

$$(2.3.2) \quad F^{n-1-q} H^{n-1}(X_{f,0}, \mathbf{C})_\lambda = \mathrm{Gr}_V^\alpha H_f'' \quad \text{for } q < \alpha \leq q+1, \quad \lambda = \exp(-2\pi i\alpha),$$

where F is the Hodge filtration of the mixed Hodge structure [St2], see [ScSt], [Va], etc. This is closely related with the definition of the spectrum in (2.4.5) below. In the case of Example (2.2), it is related with [St3] by (2.2.4).

2.4. Spectrum. Let H be a mixed Hodge structure with a semisimple action T of finite order. Set $H_{\mathbf{C},\lambda} := \mathrm{Ker}(T - \lambda) \subset H_{\mathbf{C}}$. We define the spectrum $\mathrm{Sp}'(H, T)$ as in [Sai4] (and [DL]) by

$$(2.4.1) \quad \begin{aligned} \mathrm{Sp}'(H, T) &:= \sum_{\alpha \in \mathbf{Q}} n'_\alpha t^\alpha, \\ \text{with } n'_\alpha &= \dim_{\mathbf{C}} \mathrm{Gr}_F^p H_{\mathbf{C},\lambda} \quad \text{for } p = [\alpha], \quad \lambda = \exp(2\pi i\alpha). \end{aligned}$$

For a holomorphic function f on a complex manifold X of dimension n and $x \in f^{-1}(0)$, we first define $\mathrm{Sp}'(f, x)$ by

$$(2.4.2) \quad \mathrm{Sp}'(f, x) := \sum_j (-1)^{n-1-j} \mathrm{Sp}'(\tilde{H}^j(X_{f,x}), T_s),$$

where $\tilde{H}^j(X_{f,x})$ is the reduced Milnor cohomology endowed with the canonical mixed Hodge structure, and T_s is the semisimple part of the local system monodromy T . There are canonical isomorphisms

$$(2.4.3) \quad \tilde{H}^j(X_{f,x}) = H^j i_x^* \varphi_f \mathbf{Q}_X,$$

where $i_x : \{x\} \hookrightarrow X$ is the inclusion, see [De2]. They can be used to define the mixed Hodge structure on the left-hand side. Note that T is equal to the inverse of the cohomological Milnor monodromy by (2.1), and this is closely related with Example (2.2) by (2.3).

Let ι denote the involution of $\mathbf{Z}[t^{1/m}, t^{-1/m}]$ over \mathbf{Z} defined by

$$\iota(t^\alpha) = t^{-\alpha}.$$

The spectrum $\mathrm{Sp}(f, x)$ is then defined by

$$(2.4.4) \quad \mathrm{Sp}(f, x) := t^n \iota(\mathrm{Sp}'(f, x)).$$

This spectrum $\mathrm{Sp}(f, x)$ coincides with the one in [St2] for the isolated singularity case (using the complex conjugate of (2.4.1) together with the symmetry (2.4.6) below). It coincides with the one in [St4] up to the multiplication by t . Indeed, the above definition of $\mathrm{Sp}(f, x)$ can be rewritten as $\mathrm{Sp}(f, x) := \sum_{\alpha \in \mathbf{Q}} n_\alpha t^\alpha$ with

$$(2.4.5) \quad \begin{aligned} n_\alpha &= \sum_j (-1)^{n-1-j} \dim_{\mathbf{C}} \mathrm{Gr}_F^{n-1-q} \tilde{H}^j(X_{f,x}, \mathbf{C})_\lambda \\ &\text{for } q < \alpha \leq q+1, \lambda = \exp(-2\pi i \alpha), \end{aligned}$$

and this is used in *loc. cit.* (up to the multiplication by t). In the isolated singularity case, the formula (2.4.5) is closely related with (2.3.2) and also with the calculations in (2.2).

If f has an isolated singularity at x , we have the symmetry of mixed Hodge numbers by [St2] so that

$$(2.4.6) \quad \mathrm{Sp}(f, x) = \mathrm{Sp}'(f, x).$$

In case f is a weighted-homogeneous polynomial of weights (w_1, \dots, w_n) (i.e. f is a linear combination of monomials $x_1^{m_1} \cdots x_n^{m_n}$ with $\sum_i w_i m_i = 1$) and has an isolated singularity at 0, it is well known that

$$(2.4.7) \quad \mathrm{Sp}(f, 0) = \prod_{i=1}^n \left(\frac{t - t^{w_i}}{t^{w_i} - 1} \right).$$

This follows from [St3], see also [St2] and the proof of Proposition 5.2 in [Di]. In the case of homogeneous polynomials (i.e. $w_i = 1/d$ for any i), this follows also from the calculation in Example (2.2) using (2.3).

For a polynomial mapping $f : \mathbf{C}^n \rightarrow \mathbf{C}$, we can define the spectrum at infinity (see also [Sab1]) by

$$(2.4.8) \quad \begin{aligned} \mathrm{Sp}'(f, \infty) &:= \sum_j (-1)^{n-1-j} \mathrm{Sp}'(\tilde{H}^j(X_\infty), T_s), \\ \mathrm{Sp}(f, \infty) &:= t^n \iota(\mathrm{Sp}'(f, \infty)), \end{aligned}$$

where $\tilde{H}^j(X_\infty)$ is the limit mixed Hodge structure of $\tilde{H}^j(X_t)$ at infinity (of \mathbf{C}), and T_s is the semisimple part of the local system monodromy T associated with a sufficiently large loop around the origin which goes counter-clockwise from the origin (and clockwise from $\infty \in \mathbf{P}^1$). This definition is compatible with the one in the weighted-homogeneous isolated singularity case in (2.4.7). In the cohomologically tame case, we have the symmetry by

[Sab2] (i.e. Theorem 1 in this paper) so that (2.4.6) holds, and the definition (2.4.8) seems to coincide with the one in [MT] (where the cohomology with compact supports is used) if the local system monodromy is used there.

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INSTITUT UNIVERSITAIRE DE FRANCE ET LABORATOIRE J.A. DIEUDONNÉ, UMR DU CNRS 7351,
UNIVERSITÉ DE NICE-SOPHIA ANTIPOLIS, PARC VALROSE, 06108 NICE CEDEX 02, FRANCE

E-mail address: Alexandru.DIMCA@unice.fr

RIMS KYOTO UNIVERSITY, KYOTO 606-8502 JAPAN

E-mail address: msaito@kurims.kyoto-u.ac.jp